



**AGENDA**  
**RULEMAKING COMMITTEE**  
**Friday, May 20, 2022**  
**2:00 pm ET / 1:00 pm CT / 12:00 pm MT / 11:00 am PT / 7:00 am HT**  
**Regulator-Only Conference Call**

Andrew Stolfi, Chair	Oregon
Scott A. White, Vice Chair	Virginia
Lori K. Wing-Heir	Alaska
Trinidad Navarro	Delaware
Karima Woods	District of Columbia
Colin M. Hayashida	Hawaii
Amy Beard	Indiana
Vicki Schmidt	Kansas
Sharon Clark	Kentucky
James Donelon	Louisiana
Timothy N. Schott	Maine
Gary Anderson	Massachusetts
Anita G. Fox	Michigan
Grace Arnold	Minnesota
Marlene Caride	New Jersey
Mike Causey	North Carolina
Glen Mulready	Oklahoma
Michael Humphreys	Pennsylvania
Elizabeth Kelleher Dwyer	Rhode Island
Mike Kreidler	Washington

1. **Roll Call**
2. **Review and discuss submitted comments regarding the Committee’s non-employer group recommendation**

**EXPLANATION:** The Committee will discuss the changes to the draft recommendation reviewed by the Committee at its March 17<sup>th</sup> regulator-only call and the comments submitted in writing and during the public call on April 22<sup>nd</sup>. In advance of the call, the Committee members are requested to review the written comments from [New York Life](#) and [ACLI](#) as well as the call summaries from the [March 18<sup>th</sup>](#) and [April 22<sup>nd</sup>](#) RMC calls.

**3. Finalize recommendation on the framework and operating procedure regarding the use of Compact-approved products for non-employer groups**

**EXPLANATION:** The goal is for the Committee to finalize its recommendation to the Management Committee with respect to the framework and draft operating procedure. Committee members are requested to review the draft recommendation memorandum and the proposed Operating Procedure for the Use of Compact-Approved Products for Other than Employer Groups for purposes of making final edits. Upon recommendation to the Management Committee, this recommendation will be subject to a formal rulemaking period of 60-day notice and comment and public hearing before it is considered by the Management Committee and Commission for adoption later this year.

**4. Observations and discussion on the uses of mix and match and regulator concerns**

**EXPLANATION:** Based on analysis of the uses of mix and match in 2021 Compact filings, the Compact Office has provided a description and example of the reasons for uses of mix and match. Committee members are requested to review the Mix and Match PowerPoint presentation from March 18<sup>th</sup> meeting and to review the reasons for mix and match. The Committee will discuss these reasons and other reasons and examples provided by Compact regulators.

**5. Discussion of mix and match survey questions for regulators and company filers**

**EXPLANATION:** The Compact Office will provide an overview of the responses to a 2015 regulator survey on the mix and match process. The Committee will review draft questions for a new regulator survey and a filer survey and provide input. Committee members are requested to review the proposed survey questions.

**6. Any Other Matters and Adjourn**