



2023 IIPRC Filing Fee Schedule

(Effective January 1, 2023)

I. Annual Registration Fees

All companies wishing to submit a product filing to the Insurance Compact for approval are subject to an Annual Registration Fee payment as defined in §102 of the *IIPRC Terms and Procedures for IIPRC Filing Fees*.

| | Premium Volume ⁱ Category | | | |
|---|--------------------------------------|------------------------|-------------------------|------------------|
| | Companies >\$1B | Companies \$1B - \$50M | Companies \$50M - \$10M | Companies <\$10M |
| Annual Registration Fee – Jan. 1-Sept. 30 | \$10,000 | \$5,000 | \$2,500 | \$1,250 |
| Annual Registration Fee – Oct. 1-Dec. 31 | \$5,000 | \$2,500 | \$1,250 | \$625 |
| Regional Filer ⁱⁱ | 50% of applicable fee | | | |
| Update-Only Registration Fee | \$1,500 | | \$750 | |

II. IIPRC Product Filing Fees

All product filings submitted to the Insurance Compact for review are subject to a filing fee per product as defined in §102 of the *IIPRC Terms and Procedures for IIPRC Filing Fees*.

| | Companies with More than \$50,000,000 Asset-Based Premium Volume | Companies with Less than \$50,000,000 Asset-Based Premium Volume |
|--|--|--|
| Product Filing Submissions <u>Requiring</u> Actuarial Review ⁱⁱⁱ | \$ 1,250 \$ 1,500 | \$ 625 \$ 750 |
| Product Filing Submissions Requiring a Rate Review (applies to LTC and DI submissions with rate schedules) | \$ 2,000 \$ 2,500 | \$ 1,000 \$ 1,250 |
| Product Filing Submissions <u>Without</u> Actuarial Review | \$ 600 \$ 750 | \$ 300 \$ 375 |
| Regional Filer ⁱⁱ | 50% of applicable filing fee | |
| Other Filing Fees | | |
| Advance Fee Calculation Services (optional) | \$100 per company | |
| Forms Permitted for Self-Certification | \$100 | |
| Annual Certification of Rates | \$500 \$1,000 per Rate Schedule | |
| <u>Triennial Certification of Rates</u> | \$1,500 per Rate Schedule | |
| Amendments to Product Filing Submissions | 50% of current IC Filing Fee to reopen and amend as defined in the Filing Fee Rule | |
| EFT Return Service Fee | 5% of total EFT Return per EFT Return per company | |
| Expedited Review Fee (optional) ⁱⁱⁱⁱ | Twice the amount of the applicable IIPRC Product Filing Fee per company | |

ⁱ Asset-based premium volume is defined as total asset-based premium volume based on the Schedule T-Part 2 of the Annual Statement filed with the NAIC for the reporting year prior to the current annual registration period.

ⁱⁱ Regional Insurer is defined as a company licensed to do business in twelve (12) or fewer Compact Member states throughout the course of an annual registration period.

ⁱⁱⁱ Actuarial review is applicable when one or more of the Uniform Standards applicable to the product filing expressly contains actuarial submission requirements.

ⁱⁱⁱⁱ Written acceptance is required from the Compact Office to enter the expedited review queue. The Expedited Review fee is

due only after the Compact has issued written acceptance via email. More details on this program are found on the Insurer Resources page of the Insurance Compact website.