

2024–2025 IIPRC Filing Fee Schedule

(Effective January 1, 20242025)

I. <u>Annual Registration Fees</u>

All companies wishing to submit a product filing to the Insurance Compact for approval are subject to an Annual Registration Fee payment as defined in §102 of the *IIPRC Terms and Procedures for IIPRC Filing Fees.*

	Premium Volume ⁱ Category			
	Companies >\$1B	Companies \$1B - \$50M	Companies \$50M - \$10M	Companies <\$10M
Annual Registration Fee – Jan. 1-Sept. 30	\$10,000	\$5,000	\$2,500	\$1,250
Annual Registration Fee – Oct. 1-Dec. 31	\$5,000	\$2,500	\$1,250	\$625
Regional Filer ⁱⁱ	50% of applicable fee			
Update-Only Registration Fee	\$1,500		\$750	

II. <u>IIPRC Product Filing Fees</u>

All product filings submitted to the Insurance Compact for review are subject to a filing fee per product as defined in §102 of the *IIPRC Terms and Procedures for IIPRC Filing Fees*.

	SUBMISSION REQUIRES ACTUARIAL REVIEW	SUBMISSION DOES NOT REQUIRE ACTUARIAL REVIEW	
Individual and Group Life & Annuities Product Filing Submissions (per company, per policy/contract)	<u>PLUS</u>	<u>\$750 Base Fee</u> <u>PLUS</u>	
	<u>\$500 Additional Fee per Product</u> <u>Component</u>	<u>\$250 Additional Fee per Product</u> <u>Component</u>	
Individual LTC and Disability Income and Group Disability Income Product Filing Submissions (per company, per policy)	<u>\$2,500 Base Fee</u>	<u>\$1,250 Base Fee</u>	
	<u>PLUS</u>	PLUS	
	<u>\$500 Additional Fee per Product</u> <u>Component</u>	<u>\$250 Additional Fee per Product</u> <u>Component</u>	
<u>Filer With Less Than \$50M in</u> <u>Schedule T, Part 2 Premium Volume</u>	50% of Base Fee	50% of Base Fee	
	PLUS Additional Fee	PLUS Additional Fee	
Regional Filer ⁱⁱ	50% of applicable filing fee		

Other Filing Fees		
Advance Fee Calculation Services (optional)	\$100 per company	
Forms Permitted for Self-Certification	\$100	
Annual Certification of Rates	\$1,000 per Rate Schedule	
Triennial Certification of Rates	\$1,500 per Rate Schedule	
Amendments to Product Filing Submissions	50% of current IC Filing Fee to reopen and amend as defined in the Filing Fee Rule	
EFT Return Service Fee	5% of total EFT Return per EFT Return per company	
Expedited Review Fee (optional) ⁱⁱⁱⁱ	Twice the amount of the applicable HPRC Produ- Filing Fee-Base Fee per company	

Base Fee includes one of the following:

- Individual life or annuities: One policy/contract, one application, one set of policy/contract specifications
- Individual LTC of DI: One policy, one application, one set of policy specifications, one rate schedule, <u>and</u> one outline of coverage
- Group: One group policy/contract, application, and one certificate
- Without policy or contract, one Product Component (includes one set of policy/contract/rider specifications)

Additional Fee applies to additional Product Components not included in the Base Fee.

A Product Component is an application, a rider, an amendment, an endorsement, a form required to be used with an application, an outline of coverage, an advertisement, and a rate schedule. Additional policy/contract/rider specifications are considered a Product Component.

ⁱ Asset-based premium volume is defined as total asset-based premium volume based on the Schedule T-Part 2 of the Annual Statement filed with the NAIC for the reporting year prior to the current annual registration period.

ⁱⁱ Regional Insurer is defined as a company licensed to do business in twelve (12) or fewer Compact Member states throughout the course of an annual registration period.

ⁱⁱⁱ Actuarial review is applicable when one or more of the Uniform Standards applicable to the product filing expressly contains actuarial submission requirements.

ⁱⁱⁱⁱWritten acceptance is required from the Compact Office to enter the expedited review queue. The Expedited Review fee is due only after the Compact has issued written acceptance via email. More details on this program are found on the Insurer Resources page of the Insurance Compact website.