



Directions for Advance Filing Fee Calculation Service

Beginning on January 1, 2019, the Insurance Compact Office is instituting a new optional service for registered filers. Under this service, the Insurance Compact Office will calculate the filing fees for your submission and direct the specific amount to be paid for Compact and state filing fees. The fee for this service is \$100 per submission, regardless of the number of companies included.

Create your product filing submission as you normally would. Please be mindful of the following items, as they all have an impact on the correct calculation of the filing fees.

Y/N	Advance Filing Fee Calculation Service Filing Requirements
	Did you include in the Filing Description details as to what the submitted forms will be used with? If a filer is not submitting a policy in the filing, please provide details as to what state- and Compact-Approved policies the forms in the filing will be used with. If this information is not provided, it will slow the filing fee calculation process down.
	Did you identify the Uniform Standards used to create the filing submission in the Filing Description? The Compact filing fee is determined by whether or not the submission requires an Actuarial Review. This is determined by the presence of Actuarial Submission Requirements in the Uniform Standards applicable to the product filing.
	Did you include all uniquely numbered forms on the Form Schedule?
	Did you select only the states in which the company is currently licensed?
	Have you paid the \$100 Advance Filing Fee Calculation Service fee? The \$100 is required per submission. If more than one company is included on the filing submission, please enter \$0.01 per company included in the submission.

For the state filing fees, do not check the Fee Required box. If this is selected, the filing submission will not transmit, and error messages will be received.

Once the submission has been received, the Insurance Compact Office will review the filing submission and provide an invoice via Note to Filer with the correct fees to pay for each state. Assuming all necessary information has been included in the filing, this invoice will be submitted the same day. Submissions made after 3:00 pm CST will receive the invoice the following business day. The filer is expected to submit the fees shown on the invoice by the next business day. As noted in §105(d)(i) of the [Interstate Insurance Product Regulation Commission Operating Procedure For The Filing And Approval Of Product Filings](#),

the Commission shall not approve a Product Filing unless it determines that the Product Filer has paid all of the fees required by Section 103(e).

To pay the filing fees **after** the submission has been made and an invoice via Note to Filer has been received:

1. Click on Submit Post Submission EFT on the Filing Fees Tab.

General Information	Form Schedule	Rate/Rule Schedule	Supporting Documentation	Statement of Intent	Companies and Contact	Filing Fees
Submit Additional EFT Fees		Submit Post Submission EFT				

2. Select the state(s) that require the EFT payment. This is required for each company included on the filing submission.

<input checked="" type="checkbox"/> Maryland: For questions regarding filing fees, please review the SERFF General Instructions.			
<input checked="" type="checkbox"/> Fee Required	<input type="checkbox"/> Retaliatory	\$50	Fee Calculation Explanation:
<hr/>			
Company	Amount		
UnitedHealthcare Insurance Company	\$ 50.00		<input type="checkbox"/> Bypass EFT for this company?
<hr/>			
<input checked="" type="checkbox"/> Massachusetts:			
<input checked="" type="checkbox"/> Fee Required	<input type="checkbox"/> Retaliatory	\$100	Fee Calculation Explanation:
<hr/>			
Company	Amount		
UnitedHealthcare Insurance Company	\$ 100.00		<input type="checkbox"/> Bypass EFT for this company?

Items of Note:

The Service Fee is applicable to the original submission. If there are forms added to the filing and the company would like the fees calculated for the additional forms, an additional \$100 will be required. The same would apply if there are states added to the submission after the initial submission is made.

The Advance Filing Fee Calculation Service (Service) is an optional service. The User acknowledges there is a possibility of human, mechanical or technical error in the intake, review, determination and communication of the Compact and state filing fees due for a submission seeking to use the Service. The accuracy of the invoice provided to a User of the Service is dependent on the accuracy of the information supplied by the User and other factors. The User acknowledges additional fees may apply, and the User agrees to submit any fees in excess of those shown on the invoice, upon request and in the sole determination of the Insurance Compact Office. The User acknowledges that the Insurance Compact Office will not provide a refund or credit of the fee for the Service or any fee submitted in connection with use of the Service.

The Interstate Insurance Product Regulation Commission (Commission) accepts no liability for any loss, cost or damage caused by use of this Service, including without limitation, direct or indirect, incidental, special, consequential or exemplary or punitive damages arising out of the use or inability to use the Service. There are no warranties either express or implied, and User specifically acknowledges the Commission does not warrant the truth, accuracy or completeness of the information provided in connection with the Service.